

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

-----x	
	:
<b>In re</b>	:
	:
<b>LEHMAN BROTHERS HOLDINGS INC., et al.,</b>	:
	:
<b>Debtors.</b>	:
	:
-----x	
	<b>Chapter 11 Case No.</b>
	<b>08-13555 (SCC)</b>
	<b>(Jointly Administered)</b>
	<b>Ref. Docket Nos. 47189, 47563</b>

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK    )

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On January 6, 2015, I caused to be served the "Notice of Defective Transfer," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:  
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF  
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez  
Lauren Rodriguez

Sworn to before me this  
14<sup>th</sup> day of January, 2015

/s/ Sidney J. Garabato  
Notary Public, State of New York  
No. 01GA6218946  
Qualified in New York County  
Commission Expires March 15, 2018

## **EXHIBIT A**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (SCC)

(Jointly Administered)

NOTICE OF DEFECTIVE TRANSFER

Transferor: SEMPER CONSTANTIA PRIVATBANK AG  
(AS SUCCESSOR TO FORMER CREDITOR CONSTANTIA PRIVATBANK)  
ATTN: MAG. ELISABETH STAUDNER AND MAG. MARTIN SCHILLER  
BANKGASSE 2  
WEIN 1010 AUSTRIA

Additional:

Transferee: BARCLAYS BANK PLC  
ATTN: DANIEL MIRANDA  
745 SEVENTH AVENUE, 2ND FLOOR  
NEW YORK NY 10019

Your transfer of claim # 66501-09 is defective for the reason(s) checked below:

Other ISIN 3709,LKY4,N6JS-ALLOW AMT DIFFERS;ISIN 1222-INSUFF FUND

Docket Number 47563

Date 12/29/14

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on January 6, 2015.

**EXHIBIT B**

TIME: 10:12:10  
DATE: 01/06/15

LEHMAN BROTHERS HOLDING INC.  
CREDITOR LISTING

PAGE: 1

Name

BARCLAYS BANK PLC  
CRAVATH, SWAINE & MOORE LLP  
SEMPER CONSTANTIA PRIVATBANK AG

Address

ATTN: DANIEL MIRANDA 745 SEVENTH AVENUE, 2ND FLOOR NEW YORK NY 10019  
MR. RICHARD LEVIN 825 8TH AVENUE NEW YORK NY 100190 SWITZERLAND  
(AS SUCCESSOR TO FORMER CREDITOR CONSTANTIA PRIVATBANK) ATTN: MAG. ELISABETH STAUDNER AND MAG. MARTIN SCHILLER BANKGASSE 2  
WEIN 1010 AUSTRIA  
BAHNHOFSTR. 45 ATTN: HUGO KOLLER ZURICH 8001 SWITZERLAND  
ATTN: HUGO KOLLER, OQ9C/O5GC P.O. BOX ZURICH 8098 SWITZERLAND

UBS AG  
UBS AG

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